

Caldicott in Social Care

- ❖ Caldicott Guardianship became a requirement in Social Care in 2001
- ❖ In 2002 *Information for Social Care* was published, the purpose to address the information agenda
- ❖ Councils were told they must ensure:
 - Information needs of all stakeholders addressed.
 - Good recording practice achieved
 - Appropriate data security and confidentiality arrangements in place
 - ICT strategies designed to take account of multi-agency information sharing

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- ❖ Caldicott a means of regulating information sharing by **Information Sharing Protocols**
- ❖ Tier 1 - Essex Charter signed by all statutory agencies in the County
- ❖ Tier 2 - local ISP regarding specific area of work
- ❖ Tier 3 - regulates particular activity

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Caldicott Guardian Standards, Data Protection Act 1998 and Freedom of Information Act 2000 all linked

- ❖ DPA is about equality, fairness and privacy (sensitive personal data) in the way information is processed
- ❖ Caldicott about Privacy and Confidentiality in sharing information
- ❖ FOI about public's right to know how these are regulated within our organisations - policies, procedures and ISPs

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- ❖ Had 18 Audit Areas with three assessment levels 0 - 2
- ❖ No longer required to keep this updated but good practice to do so
- ❖ In 2004 the Caldicott Audit was replaced by the Information Governance Toolkit in Acute Trusts
- ❖ In 2005 IG Toolkit has been rolled out to PCTs and Acute Trust version revised

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- ❖ Intended to introduce toolkit into Social Care in 2006
- ❖ At end of 2003 work commenced, using v1 of Acute Trust Toolkit to make it fit for SC
- ❖ Reference Group mandated by ADSS/NHSIA
- ❖ With the demise of social care departments and NHSIA, LeGSB stepped in

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In the interests of working together and sharing our client/patient information, SAP, CAF, ISA it is urgent that social care should have a recognisably similar information governance framework to our health colleagues.

Our ICT systems need to be able to interface with our local trusts

Social Care is expected to have electronic social care records in place by October 2005

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Information Governance in social care - where to now?

Latest situation:

- ❖ DH have agreed that an Excel version of SC IG Toolkit be published on LeGSB website in a couple of weeks time.
- ❖ A work programme is to be instituted to make the IG Toolkit fit for local government as a whole, not just social care.